

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 11  
 For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2011 JUN -3 PM 2:58 *MC*

Andy Harris  
 (Full Name)

2022255311  
 (Daytime Telephone)

**HAND DELIVERED**  
 (Office Use Only)

**Filer Status**

☒ Member of the U.S. House of Representatives

State: MD  
 District: 01

☐ Officer Or Employee

Employing Office:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**Report Type**

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule I.</p>	<p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VI.</p>
<p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule II.</p>	<p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VII.</p>
<p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule III.</p>	<p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VIII.</p>
<p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule IV.</p>	<p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule IX.</p>
<p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule V.</p>	<p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p><b>Trusts--</b></p>	<p>Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p><b>Exemptions--</b></p>	<p>Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

**SCHEDULE I - EARNED INCOME**

Name Andy Harris

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Johns Hopkins University	Salary	\$123,705
State of Maryland	Salary	\$40,011
Tidewater Anesthesia Associates	Anesthesia Services	\$27,000
Medical Mutual Liability Insurance Society of Maryland	Consulting	\$5,500
Maryland Right to Life	Spouse Salary	N/A

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Andy Harris

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**BLOCK A**
**Asset and/or Income Source**

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or

**BLOCK B**
**Year-End Value of Asset**

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

**BLOCK C**
**Type of Income**

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

**BLOCK D**
**Amount of Income**

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

**BLOCK E**
**Transaction**

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SP	First mariner Bank CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	M and T Bank Checking	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC1	529, College Savings Plan of MD, Portfolio for College	\$1,001 - \$15,000	None	NONE	
DC2	529, College Savings Plan of MD, Portfolio for College	\$15,001 - \$50,000	None	NONE	P
DC2	529, Maryland Prepaid College Trust	\$1,001 - \$15,000	None	NONE	
DC3	529, College Savings Plan of MD, Portfolio for College	\$15,001 - \$50,000	None	NONE	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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DC3	529, Maryland Prepaid College Trust	\$1,001 - \$15,000	None	NONE	
DC4	529, College Savings Plan of Maryland, Portfolio 2012	\$15,001 - \$50,000	None	NONE	P
DC4	529, Maryland Prepaid College Trust	\$15,001 - \$50,000	None	NONE	
DC5	529, College Savings Plan of MD, Portfolio 2018	\$15,001 - \$50,000	None	NONE	P
DC5	529, Maryland Prepaid College Trust	\$15,001 - \$50,000	None	NONE	
	Keogh, T Rowe Price, International Discovery	\$15,001 - \$50,000	None	NONE	
	Keogh, T Rowe Price, Money Market	\$15,001 - \$50,000	None	NONE	
	403b, American Century, Emerging Markets	\$50,001 - \$100,000	None	NONE	
	403b, American Century, Global Gold	\$100,001 - \$250,000	None	NONE	
	403b, American Century, International Discovery	\$100,001 - \$250,000	None	NONE	
	403b, American Century, Money Market	\$250,001 - \$500,000	None	NONE	
	403b, American Century, Strategic Allocation	\$50,001 - \$100,000	None	NONE	
	403b, TIAA Traditional	\$100,001 - \$250,000	None	NONE	
	403b, CREF Equity Index	\$100,001 - \$250,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	403b, CREF Global Equity	\$100,001 - \$250,000	None	NONE	
	MD State Retirement, 457, 401K, Investment Contract Pool	\$100,001 - \$250,000	None	NONE	P
	MD State Retirement, 457, 401K, Large Cap Value	\$100,001 - \$250,000	None	NONE	P
	403b Vanguard, Mid Cap Index	\$15,001 - \$50,000	None	NONE	
	403b Vanguard, Capital Opportunity	\$15,001 - \$50,000	None	NONE	
	403b Vanguard, Life Strategy Growth	\$15,001 - \$50,000	None	NONE	
	403b Vanguard, REIT Index	\$15,001 - \$50,000	None	NONE	
	403b Vanguard, Money Market	\$100,001 - \$250,000	None	NONE	P
	403b Vanguard, Emerging Markets	\$50,001 - \$100,000	None	NONE	
	403b Vanguard, Energy	\$15,001 - \$50,000	None	NONE	
	403b Vanguard, Health Care	\$50,001 - \$100,000	None	NONE	
	403b Vanguard, Pacific Index	\$15,001 - \$50,000	None	NONE	
	403b Vanguard, Selected Value	\$15,001 - \$50,000	None	NONE	
	403b Vanguard, 500 Index	\$1,001 - \$15,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	IRA Ameritrade, Money Market	\$1,001 - \$15,000	None	NONE	
	IRA Ameritrade, Money Market	\$1,001 - \$15,000	None	NONE	
DC3	Vanguard Wellington	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC3	Vanguard Money Market	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC4	Vanguard Tax-managed Growth and Income	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC4	Vanguard Wellington	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC4	Vanguard Money Market	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC5	Vanguard 500 Index	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC5	Vanguard MidCap Index	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC5	Vanguard SmallCap Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC5	Vanguard Money Market	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA Abbott Labs	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
	IRA Bank of America	None	None	NONE	S
	IRA Baxter Labs	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	IRA Merck Labs	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA Comcast	None	DIVIDENDS	\$1 - \$200	S
	IRA GE	None	DIVIDENDS	\$1 - \$200	S
	IRA Glaxo	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
	IRA Joseph A Bank	\$1,001 - \$15,000	None	NONE	
	IRA McCormick	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA Oclaro	None	None	NONE	S
	IRA Sinclair Broadcast	None	DIVIDENDS	\$1 - \$200	S
JT	Fidelity Charitable Gift Fund	\$15,001 - \$50,000	None	NONE	
JT	T Rowe Price Charitable Gift Fund	\$15,001 - \$50,000	None	NONE	

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	403b Vanguard, Money Market	P	N/A	monthly	\$15,001 - \$50,000
DC4	529, College Savings Plan of Maryland, Portfolio 2012	P	N/A	monthly	\$1,001 - \$15,000
DC5	529, College Savings Plan of MD, Portfolio 2018	P	N/A	monthly	\$1,001 - \$15,000
DC2	529, College Savings Plan of MD, Portfolio for College	P	N/A	monthly	\$1,001 - \$15,000
DC3	529, College Savings Plan of MD, Portfolio for College	P	N/A	monthly	\$1,001 - \$15,000
	IRA Abbott Labs	S	Yes	12-31-10	\$1,001 - \$15,000
	IRA Bank of America	S	No	12-31-10	\$1,001 - \$15,000
	IRA Baxter Labs	S	Yes	12-31-10	\$1,001 - \$15,000
	IRA Comcast	S	No	12-31-10	\$1,001 - \$15,000
	IRA GE	S	No	12-31-10	\$1,001 - \$15,000
	IRA Glaxo	S	Yes	12-31-10	\$1,001 - \$15,000



**SCHEDULE IV - TRANSACTIONS**

Name Andy Harris

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	IRA Sinclair Broadcast	S	No	12-31-10	\$1,001 - \$15,000
	MD State Retirement, 457, 401K, Investment Contract Pool	P	N/A	monthly	\$1,001 - \$15,000
	MD State Retirement, 457, 401K, Large Cap Value	P	N/A	monthly	\$1,001 - \$15,000

## SCHEDULE IX - AGREEMENTS

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
01-05-11	Johns Hopkins	Leave of Absence

## FOOTNOTES

Name Andy Harris

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Trust Fund that was not included on last year's filing	T Rowe Price Charitable Gift Fund
2	Schedule III	Trust Fund that was not included on last year's filing	Fidelity Charitable Gift Fund

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 11  
For use by Members, officers, and employees

**HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER

2011 MAY 10 PM 12:30

(Office Use Only) U.S. HOUSE OF REPRESENTATIVES

MC

C.A. Dutch Ruppersberger (Full Name)		202-225-3061 (Daytime Telephone)	
Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representative	State: MD District: 02	<input type="checkbox"/> Officer Or Employee
	Employing Office		
Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	Termination Date:
	<input type="checkbox"/> Termination		

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$336 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$336 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts--</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name C.A. Dutch Ruppertsberger

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Baltimore County, Maryland	Retirement Pension	\$89,863

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C.A. Dutch Ruppertsberger

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
	Agency & Treasury Bonds (IRA) Ishares	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
SP	American Balanced Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	NONE	
	AMEX SPDR (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Bank of America	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	Bristol Myers Squibb Co. (JJM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
	Brock Global Energy (IRA)	None	DIVIDENDS	\$1,001 - \$2,500	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	Cohen & Steers Reit & Utility (JJM Trust)	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	Corporate Office PPTYS TR (JJM Trust)	\$1,001 - \$15,000	NONE	NONE	
SP	Duke Enegy Corp. (JJM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	\$1,001 - \$2,500	
	Eaton Vance TAD Income Fund (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Eaton Vance TAD Income Fund (IRA)	None	DIVIDENDS	\$1 - \$200	S
	Fidelity Energy SVC2 (SEP IRA)	\$15,001 - \$50,000	NONE	NONE	
	Fidelity Equity Income Fund (IRA)	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000	
SP	First Mariner	None	INTEREST	\$201 - \$1,000	S
SP	Gambelli Dividend & Income (JJM Trust)	None	CAPITAL GAINS	\$5,001 - \$15,000	S
	GE Capital Corp. (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Gladstone Commercial Corp. (JJM Trust)	None	CAPITAL GAINS	\$5,001 - \$15,000	S
SP	Gladstone Investment Corp. (JJM Trust)	\$1,001 - \$15,000	NONE	NONE	
SP	Growth Fund of America (JJM Trust)	\$15,001 - \$50,000	CAPITAL GAINS	\$2,501 - \$5,000	
SP	High Yield Corporate Bond (IRA)	None	INTEREST	\$201 - \$1,000	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	High Yield Corporate Bond (IRA)	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	Inflation Protected TIPS (IRA) Ishares	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
	Inflation Protected TIPS (IRA) Ishares	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	JPM IT MDCAP (SEP IRA)	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	P
	MFS SunLife Fixed/Variable Annuity - General Account (no specific holdings)	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	MFS SunLife Fixed/Variable Annuity - General Account (no specific holdings)	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	MSCI EAFE INDEX (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	MSCI Emerging Mkts (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	MSCI Emerging Mkts (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Neu Ber Genesis Fund (IRA)	\$50,001 - \$100,000	INTEREST	\$5,001 - \$15,000	
	NW Fixed Acct. (CASH Acct.) (IRA)	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
	NW NVIT Gov BD	\$15,001 - \$50,000	NONE	NONE	P
	OPP NVIT LG CAP	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
P	Oracle Corp (JJM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	\$2,501 - \$5,000	



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	Powershares China (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Powershares DA (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Powershares DB (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Rupp & Assoc. Inc. (S Corp) (Blind Trust) Timonium, Md. - Legal/ Collections	\$100,001 - \$250,000	DIVIDENDS	\$50,001 - \$100,000	
SP	Sector Select SPDR Fund (JJM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	
SP	Spectra Energy Corp. (JJM Trust)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Staples Inc. (JJM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	\$2,501 - \$5,000	
JT	Summer Beach #608 Ocean City, MD.	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	
SP	Summit Community Bank	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	TDAM Ins Dep. (IRA)	\$15,001 - \$50,000	None	NONE	
SP	TDAM Ins. Dep. (IRA)	\$1,001 - \$15,000	None	NONE	
SP	Teleflex Inc. (JJM Trust)	\$1,001 - \$15,000	CAPITAL GAINS/DIVIDEN DS	\$201 - \$1,000	
SP	US Govt. MNY MKT. (JJM Trust)	\$1 - \$1,000	None	NONE	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name C.A. Dutch Ruppertsberger

Page 7 of 11

	Vanguard US LG CAP (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Vanguard US Mid CAP (IRA)	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
	Vanguard US SM CAP (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	W & R Advisor High Income (IRA)	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	P

# SCHEDULE IV - TRANSACTIONS

Name C.A. Dutch Ruppertsberger

Page 8 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Brock Global Energy (IRA)	S	Yes	10/10	\$15,001 - \$50,000
SP	Cohen & Steers Reit & Utility (JJM Trust)	S	Yes	3/10	\$1,001 - \$15,000
SP	Eaton Vance TAD Income Fund (IRA)	S	Yes	11/10	\$1,001 - \$15,000
	Fidelity Contrafund (SEP IRA)	S	No	12/10	\$50,001 - \$100,000
SP	First Mariner	S	No	12/10	\$1,001 - \$15,000
	Ford Motor Co. (IRA)	S	No	12/10	\$1,001 - \$15,000
SP	Gambelli Dividend & Income (JJM Trust)	S	Yes	5/10	\$1,001 - \$15,000
SP	Gladstone Commercial Corp. (JJM Trust)	S	Yes	5/10	\$1,001 - \$15,000
SP	High Yield Corporate Bond (IRA)	S	Yes	11/10	\$1,001 - \$15,000
	JPM IT MDCAP (SEP IRA)	P	N/A	1/10	\$15,001 - \$50,000
SP	MSCI Emerging Mkts (IRA)	P	N/A	7/10	\$1,001 - \$15,000
	MSCI Emerging Mkts (IRA)	P	N/A	12/10	\$15,001 - \$50,000

**SCHEDULE IV - TRANSACTIONS**

Name C.A. Dutch Ruppersberger

Page 9 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	NW NVIT Gov BD	P	N/A	4/10	\$15,001 - \$50,000
	OPP NVIT LG CAP	P	N/A	12/10	\$15,001 - \$50,000
SP	Powershares DA (IRA)	P	N/A	10/10	\$1,001 - \$15,000
	W & R Advisor High Income (IRA)	P	N/A	4/10	\$50,001 - \$100,000

## SCHEDULE VIII - POSITIONS

Name C.A. Dutch Ruppertsberger

Page 10 of 11

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	University of Baltimore Law School Advisory Council
Board Member	University of MD. Medical Systems Shock Trauma
Board Member	United States Navel Academy

## SCHEDULE IX - AGREEMENTS

Name C.A. Dutch Ruppertsberger

Page 11 of 11

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
8-24-75	Baltimore County Employment Retirement System	Baltimore County Pension Plan & Deferred Compensation Plan

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 8  
For use by Members, officers, and employees

**HAND DELIVERED**

John P. Sarbanes

202-225-4016

2011 MAY 13 PM 12:33

(Full Name)

(Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

**Filer Status**

☒ Member of the U.S. House of Representatives  
State: MD  
District: 03

☐ Officer Or Employee  
Employing Office:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**Report Type**

☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p><b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule I.</p>	<p><b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule VI.</p>
<p><b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule II.</p>	<p><b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule VII.</p>
<p><b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule III.</p>	<p><b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule VIII.</p>
<p><b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule IV.</p>	<p><b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule IX.</p>
<p><b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule V.</p>	<p><b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b></p>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p><b>Trusts-</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p><b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John P. Sarbanes

Page 2 of 8

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
DC	Baltimore County Savings Bank	None	INTEREST	\$1 - \$200	
	Charles Schwab Alliance Bernstein Value ADV	None	DIVIDENDS	\$2,501 - \$5,000	S
	Charles Schwab Dodge & Cox Stock	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	P
	Charles Schwab Pimco Total Return Instl	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000	
DC	Citibank	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	ING Direct	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name John P. Sarbanes

Page 3 of 8

DC	Maryland College Investment Plan	None	None	NONE	
DC	Maryland College Investment Plan	\$15,001 - \$50,000	None	NONE	
DC	Maryland College Investment Plan	\$15,001 - \$50,000	None	NONE	
JT	Morgan Stanley American Cap World Growth & Income	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Morgan Stanley American Washington Mutual	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Morgan Stanley Growth Fund of America	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	Morgan Stanley Money Market Fund	None	None	NONE	
SP	Nationwide Retirement Fid Equity Income Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Nationwide Retirement Vankamp Growth & Inc. Fd A	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Vanguard Traditional IRA Tgt Rtmt 2030	\$15,001 - \$50,000	None	NONE	P
JT	Wachovia Crown Banking	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Wachovia IRA (Certificate of Deposit)	\$1,001 - \$15,000	None	NONE	

# SCHEDULE IV - TRANSACTIONS

Name John P. Sarbanes

Page 4 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Charles Schwab Allliance Bernstein Value ADV to CS Dodge & Cox Stock	S	No	4-6/10	\$50,001 - \$100,000
	Charles Schwab Dodge & Cox Stock from CS Alliance Bernstein Value ADV	P	N/A	4-6/10	\$50,001 - \$100,000
SP	Vanguard Prime Traditional IRA Tgt Ret 2030	P	N/A	4/26/10	\$15,001 - \$50,000

## SCHEDULE VI - GIFTS

Name John P. Sarbanes

Page 5 of 8

Report the source, a brief description, and the value of all gifts totaling more than \$335 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Byrd Scholarship	Dependent child - College scholarship	\$1,500

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name John P. Sarbanes

Page 6 of 8

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Princeton University	April 18 - 20	Baltimore-Princeton-Baltimore	Y	Y	N	None

## SCHEDULE VIII - POSITIONS

Name John P. Sarbanes

Page 7 of 8

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Institute for Christian and Jewish Studies

## FOOTNOTES

Name John P. Sarbanes

Page 8 of 8

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Closed by account holder on September 3, 2010 to pay for college tuition.	Maryland College Investment Plan
2	Schedule III	Closed by account holder on January 15, 2010.	Baltimore County Savings Bank
3	Schedule III	Balance transferred by account holder on April 26, 2010 to Vanguard Traditional IRA.	Morgan Stanley Money Market Fund
4	Schedule III	Proceeds transferred from Morgan Stanely Money Market Fund.	Vanguard Traditional IRA Tgt Rtmt 2030

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 3  
For use by Members, officers, and employees

**HAND DELIVERED**

Donna Fern Edwards  
(Full Name)

202/225-8699  
(Daytime Telephone)

2011 MAY 16 PM 1:03  
(Office Use Only)

**Filer Status**

☒ Member of the U.S. House of Representative

State: MD  
District: 4

☐ Officer Or Employee

Employing Office:

**Report Type**

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule I.</p>	<p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VI.</p>
<p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule II.</p>	<p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule VII.</p>
<p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule III.</p>	<p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VIII.</p>
<p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule IV.</p>	<p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule IX.</p>
<p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule V.</p>	<p><b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b></p>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p><b>Trusts--</b></p>	<p>Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p><b>Exemptions--</b></p>	<p>Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donna Fern Edwards

Page 2 of 3

## BLOCK A

### Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or

## BLOCK B

### Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

## BLOCK C

### Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

## BLOCK D

### Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

## BLOCK E

### Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

Andrews Federal Credit Union, MD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Branch Bank & Trust, MD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Lockheed Federal Credit Union, MD	\$1 - \$1,000	INTEREST	\$1 - \$200	
Wells Fargo Advisors, NC (Statement Attachment 1)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	



**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Donna Fern Edwards

Page 3 of 3

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Turkish Coalition of America (Itinerary Attachment 2)	March 27- April 3, 2010	DC-JFK--Istanbul-DC	Y	Y	N	None

ADVISORS

Donna Fern Edwards

Schedule III

48,779

Attachment 1 of 8

## SNAPSHOT

Current period ending December 31, 2010

ACCOUNT NAME:

DONNA F EDWARDS (IRA)

FCC AS CUSTODIAN

ACCOUNT NUMBER:

Your Financial Advisor:

Phone:

If you have more than one account with us, why not link them and receive summary information for your entire household? Contact Your Financial Advisor for more details.

### Message from Wells Fargo Advisors

ANNOUNCING NEW IRS COST BASIS ACCOUNTING RULES IN 2011. PLEASE REVIEW THE ENCLOSED BROCHURE FOR DETAILS. TO FIND OUT HOW ECONOMIC CHALLENGES COULD AFFECT YOUR INVESTMENT OPPORTUNITIES IN 2011, VISIT [WELLSFARGOADVISORS.COM/OUTLOOK](http://WELLSFARGOADVISORS.COM/OUTLOOK) TO VIEW OUR ON-DEMAND PRESENTATION.

015615 02 3DG 84 \*\*\*AUTO\*\*3-DIGIT 207  
DONNA F EDWARDS (IRA)  
FCC AS CUSTODIAN



Wells Fargo Advisors, LLC, brokerage account(s) carried by First Clearing, LLC. Wells Fargo Advisors, LLC and First Clearing, LLC, Members FINRA/SIPC are separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company.

Investments and insurance products are:

NOT FDIC-INSURED

NO BANK GUARANTEE

MAY LOSE VALUE

## General instructions and disclosures

### About this statement

#### Clearing services

First Clearing, LLC ("FCC"), an indirect majority owned subsidiary of Wells Fargo & Company, is a clearing broker-dealer registered with the Securities and Exchange Commission ("SEC") and is a member of the New York Stock Exchange ("NYSE"), the Financial Industry Regulatory Authority ("FINRA") and all principal U.S. exchanges. FCC carries your account(s) and acts as your custodian for funds and securities deposited with us directly by you, through our affiliated broker-dealer, Wells Fargo Advisors, LLC ("Wells Fargo Advisors") or as a result of transactions we process for your account. Twice a year, FCC publishes on its web site [www.firstclearingllc.com](http://www.firstclearingllc.com) a statement of the firm's financial condition. Alternatively, a printed statement is available to you upon request. Unless and until we receive written notice from you to the contrary, FCC may, without inquiry or investigation, accept from Wells Fargo Advisors (i) orders for the purchase or sale of securities for your account on margin or otherwise, and (ii) any other instructions concerning your account.

#### Trade date statement

All activity and positions on this statement are shown as of the date a trade is entered on the brokerage trading system (i.e., the trade date). Proceeds from the sale of securities and costs for the purchase of securities are not transacted through your account until the actual settlement date of the trade, which may be up to three business days after the trade date (or longer for certain securities with an extended settlement date).

#### Pricing of securities

Securities prices reflected on your statement may vary from actual liquidation value. Prices shown are provided by outside quotation services which we believe to be reliable but due to the nature of market data the accuracy of such prices cannot be guaranteed, or in the absence of such pricing, are estimated by Wells Fargo Advisors using available information and its judgment. Such estimates may not reflect actual trades and do not reflect a commitment by the firm to buy or sell at those prices. Securities listed on a national exchange such as the NYSE or Nasdaq Stock Market are priced as of the close of the statement period. Unlisted shares may be valued at the current lowest published "bid-price", and, if none exists, the last reported transaction if occurring within the last 45 days. Prices of securities not actively traded may not be available and are indicated by "N/A." Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value. Listed options are priced based on the closing "bid-ask" prices and the last reported trade. Mutual fund shares are priced at net asset value. Shares of direct participation program ("DPP") and real estate investment trust ("REIT") securities that are not listed on a national exchange are generally illiquid. Because no formal trading market may exist for these investments, their values are estimated. Unless otherwise indicated, the values shown for DPP and REIT securities have been provided by the management of each program and represent that management's estimate of the investor's interest in the net assets of the program. See statement sections for additional pricing information. Prices for hedge funds and certain managed futures funds are provided on a month delay basis. Other managed futures funds may be priced more frequently. Long-term certificates of deposit (maturity beyond one year from date of issue) are priced using a market value pricing model. Generally, the sale or redemption price of your securities may be higher or lower than the prices shown on your statement. For an actual quote, contact the individual servicing your account.

#### Estimated annual income/yield

Estimated Annual Income (EAI), when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield (EAY), when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. EAI and EAY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EAY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate. The information used to derive these estimates is obtained from various outside vendors; FCC and our Firm are not responsible for incorrect or missing estimated annual income and yields. Past performance is not a guarantee of future results.

#### Income summary

The Income summary displays all income as recorded in the tax system as of period end date. The totals in the Cash flow snapshot may not match the totals in the Income snapshot due to reclassifications or other corrections made in the tax system. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year-end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. You should rely only on tax reporting documents. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

### About your rights and responsibilities

#### Questions and complaints about Your Account

This account statement contains important information about your brokerage account, including recent transactions. All account statements sent to you shall be deemed complete and accurate if not objected to in writing within ten days of receipt. We encourage you to review the details in this statement. If you do not understand any of the information in your statement or if you believe there are any inaccuracies or discrepancies in your statement, you should promptly report them to both FCC and to the manager of the Wells Fargo Advisors office listed on the front of your statement. To further protect your rights, including any rights under the Securities Investor Protection Act, any verbal communications with either your Wells Fargo Advisors office or with FCC should be re-confirmed in writing. Inquiries or complaints about your account statement, including the positions and balances in your account, may be directed to Wells Fargo Advisors Client Services at (866) 887-2402 or First Clearing Client Services at ATTN: H0006-09P, 1 N. Jefferson Ave, St. Louis, MO 63103, (800) 727-0304.

**Public Disclosure:** You may reach FINRA by calling the FINRA Regulation Public Disclosure Program Hotline at (800) 289-9999 or by visiting FINRA Regulation online at [www.finra.org](http://www.finra.org). An investor brochure that includes information describing FINRA BrokerCheck is available from FINRA upon request. A brochure describing the FINRA Pricing of Securities Regulation Public Disclosure Program is also available from the FINRA upon request.

#### SIPC Protection

Securities and cash in client accounts have two sources of protection. Wells Fargo Advisors is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC protects the clients of its member firms against the loss of their securities in the event of the member's insolvency and liquidation. Each client is insured up to a maximum of \$500,000 (including \$250,000 for claims for cash). For more information on SIPC coverage, please see the explanatory brochure at [www.sipc.org](http://www.sipc.org) or contact SIPC at (202) 371-8300. In addition, Wells Fargo Advisors maintains a program of excess protection. This additional insurance coverage is provided through London Underwriters (led by Lloyd's of London Syndicate) ("Lloyd's"). For clients who have received the full SIPC payout limit, Wells Fargo Advisors' policy with Lloyd's provides additional coverage above the SIPC limits for any missing securities and cash in client brokerage accounts up to a firm aggregate limit of \$1 billion (including up to \$1.9 million for cash per client). SIPC and the additional protection do not insure the quality of investments or protect against losses from fluctuating market value.

#### Investor education

Wells Fargo Advisors publishes on its web site [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com) information on topics of interest to investors as well as market commentary and economic analysis. Wells Fargo Advisors has also developed numerous investor education guides to provide you with important information regarding the products and services we offer. These guides may be found under the "Investor Education" tab.

#### Free credit balances

Free credit balances are not segregated and may be used by FCC in the operation of its business in accordance with 17CFR Section 240.15c3-2 & -3 under the Securities and Exchange Act of 1934. You have the right to receive from us in the course of normal business operations, subject to any open commitments in any of your accounts, any free credit balances to which you are entitled.

#### Investment objectives/Risk tolerances

Please inform us promptly of any material change that might affect your investment objectives, risk tolerances or financial situation, or if you wish to impose or change any reasonable restrictions on the management of your account. A copy of the Investment Advisory Services Disclosure document is available without charge upon request. Please contact the individual denoted on the front of your statement to update your information and to receive a copy of this document.

#### Tax reporting

We are required by federal law to report annually to you and to the Internal Revenue Service ("IRS") on Form 1099 and 1099B certain interest, dividend income and sales proceeds credited to your account.



## SNAPSHOT

DONNA F EDWARDS (IRA)  
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2010  
ACCOUNT NUMBER:



## Progress summary

	THIS PERIOD	THIS YEAR
Opening value	\$14,413.46	\$14,379.23
Income earned	0.12	1.45
Change in value	8.05	40.95
Closing value	\$14,421.63	\$14,421.63

As a Wells Fargo Advisors Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement. It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset Program.

## Portfolio summary

		PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
ASSET TYPE						
ASSETS	Cash and sweep balances	14,241.81	98.81	14,241.93	98.75	1
	Stocks, options & ETFs	171.65	1.19	179.70	1.25	0
	Fixed income securities	0.00	0.00	0.00	0.00	0
	Mutual funds	0.00	0.00	0.00	0.00	0
	Asset value	\$14,413.46	100%	\$14,421.63	100%	\$1

## Schedule III

## Attachment 4 of 8

## SNAPSHOT

DONNA F EDWARDS (IRA)  
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2010  
ACCOUNT NUMBER:

## Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$14,241.81	
Income and distributions	0.12	1.45
Net additions to cash	\$0.12	\$1.45
Other subtractions	0.00	-40.00
Net subtractions from cash	\$0.00	-\$40.00
Closing value of cash and sweep balances	\$14,241.93	

## Income summary

	THIS PERIOD	THIS YEAR
Money market/sweep funds	0.12	1.45
Total income	\$0.12	\$1.45

## Gain/loss summary

	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term	0.00	0.00
Long term	0.00	0.00
Total	\$0.00	\$0.00



## SNAPSHOT

DONNA F EDWARDS (IRA)  
FCC AS CUSTODIANDECEMBER 1 - DECEMBER 31, 2010  
ACCOUNT NUMBER:

ADVISORS

## Retirement summary

IRA Plan Value \$14,421.63

CUSTODIAN EIN

A portion of these assets may not be covered by SIPC. Bank products are covered by FDIC insurance up to \$250,000 in accordance with FDIC rules.

REVIEW THE SECTION "IMPORTANT IRA INFORMATION" CONTAINED IN THE ENCLOSED INSERT "IMPORTANT INFORMATION REGARDING YOUR ACCOUNT." FOR INFORMATION ABOUT YOUR RETIREMENT PLAN ASSETS, CONTACT YOUR FINANCIAL ADVISOR.

This is your individual retirement account (IRA) Fair Market Value statement. The amount reflected in the "IRA Fair Market Value" will be furnished to the Internal Revenue Service as shown on your Form 5498. Any corrections made to your market value after December 31, 2010 will result in a corrected "IRA Portfolio Holding Valuation and Form 5498" being issued to you.

If you turn 70 1/2 in 2011, you must take a Required Minimum Distribution (RMD) with respect to your IRA by April 1st of 2012. If you turned 70 1/2 prior to 2011, you must take an RMD payment by December 31, 2011. The RMD amount indicated below is recalculated each year and may yield a different result. In accordance with IRS instructions, we will report to the IRS if you are required to receive an RMD for that calendar year. Please contact us if you need the details of the RMD calculations or your current year RMD amount.

## ACCOUNT INFORMATION

IRA Fair Market Value	\$14,421.63
Account Holder Birthdate:	
Attained Age as of 12/31/10:	

This beneficiary information is based upon the most recent data available and is being provided as a service to you. In the event of death, your most recent beneficiary designation on file will govern.

PRIMARY BENEFICIARY INFORMATION	% ENTITLEMENT
JARED LANE EDWARDS COLEMA	100.00%

## RETIREMENT TRANSACTIONS

CONTRIBUTION SUMMARY	AMOUNT
Contributions	
2010 FOR 2010	\$0.00

2010 DISTRIBUTION SUMMARY	AMOUNT
Gross Distributions	\$0.00

Contact us if information on this page requires updates.

Your Financial Advisor:

**SNAPSHOT**

**DONNA F EDWARDS (IRA)**  
**FCC AS CUSTODIAN**

Page 4 of 6

DECEMBER 1 - DECEMBER 31 2010  
 ACCOUNT NUMBER:

**Your Financial Advisor**

Phone:

Please visit us at [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com).

**Account profile**

Full account name:	DONNA F EDWARDS (IRA)
Account type:	FCC AS CUSTODIAN
Brokerage account number:	Individual Retirement Account
Tax status:	Retirement
Investment objective/Risk tolerance:	MODERATE GROWTH
Cost Basis Election:	First in, First out
Sweep option:	BANK DEPOSIT SWEEP

**For your consideration**

Go paperless. Accessing all of your account documents online is easy and costs nothing. To participate, go to [wellsfargoadvisors.com](http://wellsfargoadvisors.com) and click on the Access Online "Client Login" link in the upper right hand corner of the homepage. If you already have a User ID and Password, please log in and click on the "Delivery Preferences" link found under the Accounts & Services tab to turn off paper delivery of your account documents. If you do not have a User ID and Password, please click on the "Sign up online" link on the right side of the Access Online login page or call 877-879-2495 for assistance.

**Document delivery status**

	Paper	Electronic
Statements:	X	
Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	



DONNA F EDWARDS (IRA)  
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2010  
ACCOUNT NUMBER:

## Portfolio detail

### Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held in one or more FDIC-insured depository account(s) established with Wells Fargo Bank, N.A. Additional funds over \$250,000 are deposited at one or more additional banks affiliated with Wells Fargo Advisors. These assets are not covered by SIPC, but are instead covered by FDIC insurance in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account please contact Your Financial Advisor.

DESCRIPTION	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.01	14,241.93	1.42
Interest Period 12/01/10 - 12/31/10			
<b>Total Cash and Sweep Balances</b>		<b>\$14,241.93</b>	<b>\$1.42</b>

\* APY measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable).

### Stocks, options & ETFs

#### Stocks and ETFs

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
GAYLORD ENTERTAINMENT CL A GET Acquired 10/03/01	5	19.45	149.25	35.9400	179.70	30.45	N/A	N/A
<b>Total Stocks and ETFs</b>			<b>\$149.25</b>		<b>\$179.70</b>	<b>\$30.45</b>		
<b>Total Stocks, options &amp; ETFs</b>			<b>\$149.25</b>		<b>\$179.70</b>	<b>\$30.45</b>		

### Bank Deposit Sweep Allocation

Monies on deposit at each bank, together with any other deposits held in the same insurable capacity at each bank, are eligible for FDIC insurance up to \$250,000 per depositor, per bank in accordance with FDIC rules for a total of up to \$750,000 in FDIC insurance when deposited at multiple banks. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2 PM ET on the last business day of the month. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

DESCRIPTION	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N.A.	14,241.81	12/31
<b>Total Bank Deposits</b>	<b>\$14,241.81</b>	



DONNA F EDWARDS (IRA)  
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2010  
ACCOUNT NUMBER:

## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			14,241.81
12/31	Cash	INTEREST		BANK DEPOSIT SWEEP		0.12	14,241.93

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/01		BEGINNING BALANCE	14,241.81	12/31		ENDING BALANCE	14,241.93
12/31	REINVEST INT	BANK DEPOSIT SWEEP	0.12				

## Specific instructions and disclosures

### Cost basis

This statement presents estimated unrealized or realized gains or losses for your information only. If acquisition or other information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information is not verified by FCC or Wells Fargo Advisors and should not be relied upon for legal or tax purposes. Revisions to this information (due to corporate mergers, tenders and other reorganizations) may be required from time to time. To update your cost information or provide omitted cost information, contact Your Financial Advisor.

Cost basis for factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average.

Your account statement should not be used for tax preparation without assistance from your tax consultant because it does not constitute an official accounting of gains/losses. We do not report capital gains or losses to the IRS.

### IRA withholding notice

Form W-4P/OMB No. 1454-0415

The withdrawals you receive from your IRA (except Education IRA) are subject to Federal income tax withholding unless you elect not to have withholding apply. If you have a periodic, or an "on demand" distribution, your election regarding our withholding of Federal income tax on your behalf stays in effect until you change it. You may change or revoke your election at any time and as often as you wish by completing a new election form. If you elect check writing privileges, you will also have previously elected to have no withholding on your withdrawals. If you decide to have taxes withheld you will not be eligible for check writing from your IRA. If you elect not to have taxes withheld you will be liable for payment of all taxes due on the taxable portion of your distribution and you may be responsible for payment of estimated tax. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.



**TCA SPONSORED CONGRESSIONAL MEMBER VISIT TO TURKEY**

**March 27<sup>th</sup> through April 3<sup>rd</sup>, 2010**

**Saturday, March 27, 2010**

5:30pm Depart John F Kennedy Airport (JFK) on Turkish Airlines Flight 0002

**Sunday, March 28, 2010**

10:10am Arrive at Istanbul Atatürk Airport (IST)

11:30am Check-in to Hyatt Regency

8:00pm Boat trip and TCA Welcoming Dinner on m/y Ceylan with members of the Turkish business community

**Monday, May 29, 2010**

10:00am Briefing by NGO representatives at the Turkish Cultural Foundation (TCF)

12:00pm Lunch and lecture by cultural expert at TCF

1:00pm Briefings by President of the Turkish Coalition of America and Program Director of the Turkish Cultural Foundation on their respective organizations at TCF

2:30pm Roundtable discussion with minority community representatives at TCF

7:30pm TCA Dinner with Faruk Eczacıbasi

**Tuesday, March 30, 2010**

9:00am Depart Istanbul via ferry

11:00am Arrive in Bursa

11:30am Meeting with historian at Green Mosque and Green Tomb

1:30pm Meeting with historian at Silk Bazaar and Grand Mosque

4:00pm Depart Bursa by bus for Istanbul

**Bursa, where Silk Road caravans converged:**

Located in northwestern Turkey, Bursa is the country's fourth largest city. A major center for agricultural and automotive production, it is most famous for its textile industry dating back to the medieval era. Strategically located at the end of the Silk Road, Prusa (as it was historically known) was an important trade hub for silk among other valuable textiles. Upon its capture in 1326 by Sultan Orhan I, Bursa was named the first capital of the Ottoman Empire. Accordingly, it is home to numerous significant religious monuments and the mausoleums of both Sultan Orhan I and his father Sultan Osman I, the founder of the Ottoman Empire. Legend has it that Turkey's famous shadow puppets, Karagöz and Hacivat, were inspired by two laborers in Bursa whose banter entertained their coworkers and subsequently slowed down a mosque's construction, which cost them their lives. Their humorous conversations live on in theater productions and a monumental tomb in their honor can today be found in their hometown.

**Wednesday, March 31, 2010**

10:00am Lecture on a Mevlid ceremony

11:30am Meeting with historian at Haghia Sophia and Blue Mosque

1:00pm Luncheon meeting with historian at the Topkapı Palace

2:30pm Cultural briefing by historian at the Grand and Spice Bazaars

7:30pm TCA Dinner with members of the press (off the record)

**Thursday, April 1, 2010**

8:00am Depart İstanbul Atatürk Airport  
9:05am Arrive at Ankara Esenboğa Airport  
10:00am Wreath laying ceremony at Anıtkabir (Atatürk's Mausoleum)  
12:00pm Luncheon meeting with U.S. Ambassador James Jeffrey  
2:30pm Meeting with Prime Minister Recep Tayyip Erdoğan or Foreign Minister Ahmet Davutoğlu  
5:00pm TCA reception at Hacettepe University  
7:00pm Gala celebrating the establishment of Hacettepe University's Jazz Conservatory – the first such conservatory established in the region

**Friday, April 2, 2010**

9:30am Educational roundtable with Turkish students at Robert College (High School)  
11:00am Educational roundtable with Turkish students at Bahcesehir University  
12:30pm Lunch at Feriye Restaurant  
2:00pm Meeting with historian at Istanbul Archaeological Museum  
7:00pm Dinner and forum on "African American-Turkish Connections Through the Arts" at Bahcesehir University

**Saturday, April 3, 2010**

11:20am Depart İstanbul Atatürk Airport (IST) on Turkish Airlines Flight 0005  
3:15pm Arrive at Chicago O'Hare International Airport (ORD)  
6:05pm Depart Chicago O'Hare International Airport (ORD) on United Airlines Flight 0624  
8:54pm Arrive at Reagan National Airport (DCA)

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 0 of 8  
 For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2011 MAY 13 PM 12:46

*MC*

Steny H. Hoyer  
 (Full Name)

202-225-3130  
 (Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES  
**HAND DELIVERED**  
 (Office Use Only)

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: MD District: 05	<input type="checkbox"/> Officer Or Employee	Employing Office:	<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>
	<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.	<b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
<b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.	<b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.
<b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	<b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.
<b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.	<b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IX.
<b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V.	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts-</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Steny H. Hoyer

Page 2 of 8

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Maryland State Retirement Agency	Legislative Pension	\$20,481.24

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steny H. Hoyer

Page 3 of 8

<p><b>BLOCK A</b></p> <p><b>Asset and/or Income Source</b></p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p>	<p><b>BLOCK B</b></p> <p><b>Year-End Value of Asset</b></p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p><b>BLOCK C</b></p> <p><b>Type of Income</b></p> <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p><b>BLOCK D</b></p> <p><b>Amount of Income</b></p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p><b>BLOCK E</b></p> <p><b>Transaction</b></p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
Berkshire Income Realty	None	CAPITAL GAINS	\$2,501 - \$5,000	S
Citibank NA Bank Deposit Program	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Congressional Federal Credit Union	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Digital Angel Corp.	\$1 - \$1,000	None	NONE	
Income Fund of America	None	DIVIDENDS	\$1,001 - \$2,500	S
Investment Company of America	None	DIVIDENDS	\$201 - \$1,000	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Steny H. Hoyer

Page 4 of 8

	Legg Mason Value Trust Fund	None	DIVIDENDS	\$1,001 - \$2,500	S
	Telkonet	\$1,001 - \$15,000	None	NONE	
	Western Asset Government Money Market Fund Class A	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

**SCHEDULE IV - TRANSACTIONS**

Name Steny H. Hoyer

Page 5 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Berkshire Income Realty	S	No	12-21-10	\$1,001 - \$15,000
	Income Fund of America	S	No	12-21-10	\$50,001 - \$100,000
	Investment Company of America	S	No	12-21-10	\$15,001 - \$50,000
	Legg Mason Value Trust Fund	S	No	12-21-10	\$100,001 - \$250,000



## SCHEDULE VIII - POSITIONS

Name Steny H. Hoyer

Page 6 of 8

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	St. Mary's College Board of Trustees

## SCHEDULE IX - AGREEMENTS

Name Steny H. Hoyer

Page 7 of 8

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
01/03/78	State of Maryland	Pension annuity for service in Maryland State Senate (see Schedule I)

**FOOTNOTES**

Name Steny H. Hoyer

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	Sales proceeds were applied to Thrift Savings Plan	Berkshire Income Realty
2	Schedule IV	Sales proceeds were applied to Thrift Savings Plan	Income Fund of America
3	Schedule IV	Sales proceeds were applied to Thrift Savings Plan	Investment Company of America
4	Schedule IV	Sales proceeds were applied to Thrift Savings Plan	Legg Mason Value Trust Fund

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 10  
 For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2011 MAY 23 AM 11:49

Elijah E. Cummings  
 (Full Name)

410-685-9199  
 (Daytime Telephone)

OFFICE OF THE CLERK  
 U.S. HOUSE OF REPRESENTATIVES  
**POSTMARK ILLEGIBLE**  
 (Office Use Only) *MC*

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representative	State: <u>MD</u> District: <u>07</u>	<input type="checkbox"/> Officer Or Employee	Employing Office:	<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>
	<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule II.	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VII.
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.	IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V.	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS**

<b>Trusts-</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Elijah E. Cummings

Page 2 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Global Policy Solutions, LLC - Spouse	Spouse Salary	N/A
California School Boards Association Foundation - Spouse	Spouse Speaking Engagement	\$2,500
National Association of Counties Financial Services Corporation - Spouse	Spouse Board Honoraria	\$15,000
Transtria Advisory Board- Spouse	Spouse Board Honoraria	\$750
Public Health Institute Advisory Board - Spouse	Spouse Board Honoraria	\$1,500

**SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

Name Elijah E. Cummings

Page 3 of 10

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Paraprofessional Educational Conference, Baltimore, MD	speech	Jan. 29, 2010	\$1,000
Cherry Hill United Methodist Church, Baltimore, MD	speech	Feb. 19, 2010	\$300
Morgan State University Foundation Baltimore, MD	speech	April 15, 2010	\$2,000
National Bar Association	speech	April 30, 2010	\$500
NAACP	speech	May 2, 2010	\$300
American College of Sports Medicine	speech	June 2, 2010	\$1,000
Christian Life Church	speech	June 6, 2010	\$500
St. Edwards Roman Catholic Church	speech	June 13, 2010	\$200
Progressive National Baptist Convention	speech	Aug. 6, 2010	\$1,000
New Shiloh Baptist Church	speech	Oct. 3, 2010	\$200

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Elijah E. Cummings

Page 4 of 10

## BLOCK A

### Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or

## BLOCK B

### Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

## BLOCK C

### Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

## BLOCK D

### Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

## BLOCK E

### Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SP	1830 11th St., NW, Ste 1, Washington, DC 20001	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
	2014 Madison Ave. Baltimore, MD 21217	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	
SP	2221 St. Paul St., Baltimore, MD 21218 (Rental Property)	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
	2225 St. Paul Street Baltimore, MD 21218	None	None	NONE	S
	Elijah Cummings, PA Baltimore, MD 2	None	No income per House Rules. Continued Existence for resolving claims only.	NONE	

**SCHEDULE III- ASSETS AND "UNEARNED" INCOME**

Name Elijah E. Cummings

Page 5 of 10

SP	Global Policy Solutions, LLC Washington, DC	\$250,001 - \$500,000	Other: (Please specify) Consulting Income/Other: (Please specify)	N/A See Schedule1	
SP	Merrill Lynch (401k) Blackrock Global	\$1,001 - \$15,000	Other:	\$2,501 - \$5,000	
SP	Merrill Lynch (401k) Blackrock Large CAP	\$1,001 - \$15,000	Other:	\$1,001 - \$2,500	
SP	Merrill Lynch (401k) Blackrock Value	\$1,001 - \$15,000	Other:	\$2,501 - \$5,000	
SP	Merrill Lynch (401k) Davis NY Venture	\$1,001 - \$15,000	Other	\$2,501 - \$5,000	
SP	Merrill Lynch (401k) Evergreen Core Bond CLC	\$1,001 - \$15,000	Other:	\$5,001 - \$15,000	
SP	TIAA CREF (403b) CREF Growth	None	Other:	NONE	S
SP	TIAA CREF (403b) CREF Stock	None	Other:	NONE	S
SP	TIAA CREF (403b) TIAA Real Estate	None	Other: (loss)	NONE	S
SP	TIAA CREF (403b) TIAA Traditional	None	INTEREST	NONE	S
SP	TIAA CREF(403b) CREF Bond Market	None	Other:	NONE	S
SP	TIAA CREF(403b) CREF Global Equities	None	Other: (Loss)	NONE	S
SP	Vanguard (403)(b)(7) 500 Index Fund Inv	None	Other:	NONE	S



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Elijah E. Cummings

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SP	Vanguard (403)(b)(7) Asset Allocation Fund Inv	None	Other:	NONE	S
SP	Vanguard (403)(b)(7) Windsor Fund Investor	None	Other:	NONE	S
SP	Wright Patman Roth IRA Congressional Federal Credit Union	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

**SCHEDULE IV - TRANSACTIONS**Name **Elijah E. Cummings**

Page 7 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Cash In TIAA CREF (403b) Retirement Funds (All - See, Schedule III)	S	Yes	04-01-10	\$15,001 - \$50,000
SP	Cash in Vanguard Retirement Funds (All-See. Schedule III)	S	Yes	04-01-10	\$15,001 - \$50,000
	Interest in 2225 St. Paul Street, Baltimore, MD	S	No	10-01-2010	\$2,500 (No Gain nor Loss)

**SCHEDULE V - LIABILITIES**

Name Elijah E. Cummings

Page 8 of 10

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	CitiMortgage, Inc O'Fallon, Missouri	11/20/2004	Mortgage on 2014 Madison Ave., Baltimore	\$15,001 - \$50,000
	CitiBank Home Line of Credit San Antonio, TX	11/26/2004	Home Equity Mortgage	\$50,001 - \$100,000
SP	First Horizon, TN	3/27/2007	1st Mortgage on 1830 11th St., NW, Washington, DC	\$250,001 - \$500,000
SP	National City Bank, OH (Now PNC Bank)	2/27/2007	2nd Mortgage on 1830 11th St., NW, Washington, DC	\$100,001 - \$250,000
SP	America's Servicing Company, Iowa	3/13/2006	1st Mortgage on 2221 St. Paul St., Baltimore	\$250,001 - \$500,000
SP	EMC Mortgage Corp., TX	3/13/2006	2nd Mortgage on 2221 St. Paul St., Baltimore	\$50,001 - \$100,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Elijah E. Cummings

Page 9 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Progressive National Baptist Convention	Aug. 5-6	BWI-Orlando-BWI	Y	Y	N	None

**SCHEDULE VIII - POSITIONS**

Name Elijah E. Cummings

Page 10 of 10

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	US Naval Academy Board of Visitors
Member	Morgan State University Board of Regents
Member	Maryland Zoo Board of Trustees
Member	Baltimore Aquarium Board of Trustees
Member	Baltimore Area Council of Boy Scouts of America Board of Trustees
Member	Yale-Howard Nursing Partnership Center to Reduce Health Disparities Advisory Committee
Chairman	Maritime for Primary and Secondary Education Coalition (MPSEC)

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b> <b>CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT</b>		<b>Form A</b> For use by Members, officers, and employees	<b>HAND DELIVERED</b> LEGISLATIVE RESOURCE CENTER 2011 MAY 16 AM 11:18 U.S. HOUSE OF REPRESENTATIVES MC (Office Use Only)
Name: <u>Chris Van Hollen</u> Daytime Telephone: <u>202-225-5341</u>			
<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>md</u> District: <u>8</u>	<input type="checkbox"/> Officer or Employee Employing Office: _____
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 16, 2011)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Termination Date: _____

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	
Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	
Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	
Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	
Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>	
Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

<b>TRUSTS</b> —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>



# SCHEDULE III—ASSETS AND “UNEARNED” INCOME

BLOCK A Asset and/or Income Source			BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction		
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in “unearned” income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be “None.”</p>												<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the “None” column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if the asset generated no income during the reporting period.</p>						<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the “None” column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if no income was earned or generated.</p>											<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>		
			A	B	C	D	E	F	G	H	I	J	K	L																				
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)													
SP, DC, JT	Examples:	SP Mega Corp. Stock					X									X			X															
		Simon & Schuster			Indefinite																Royalties													
		1st Bank of Paducah, KY Accounts					X										X																	
		Congressional Fed Credit Union					X											X																
		PepsiCo, Inc. stock					X									X																		
		General Electric stock			X											X																		
		Yum Brands, Inc. stock			X											X																		
		Arrest Fox Pension Plan					X														N/A													
		Cigna Retirement Series																																

For additional assets and unearned income, use next page.



**Continuation Sheet (if needed)**

Page 4 of 5

**This page may be copied if more space is required.**

## SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Board of Directors	Boys & Girls Club of Greater Washington

## SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement